# **Industrial Automation**

(Automação de Processos Industriais)

# **Discrete Event Systems**

http://www.isr.ist.utl.pt/~pjcro/courses/api1011/api1011.html

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# Syllabus:

Chap. 5 – CAD/CAM and CNC [1 week]

• • •

Chap. 6 – Discrete Event Systems [2 weeks]

Discrete event systems modeling. Automata.

Petri Nets: state, dynamics, and modeling.

Extended and strict models. Subclasses of Petri nets.

. . .

# Chap. 7 – Analysis of Discrete Event Systems [2 weeks]

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# Some pointers to Discrete Event Systems

History: <a href="http://prosys.changwon.ac.kr/docs/petrinet/1.htm">http://prosys.changwon.ac.kr/docs/petrinet/1.htm</a>

Tutorial: <a href="http://vita.bu.edu/cgc/MIDEDS/">http://vita.bu.edu/cgc/MIDEDS/</a>

http://www.daimi.au.dk/PetriNets/

Analyzers, <a href="http://www.ppgia.pucpr.br/~maziero/petri/arp.html">http://www.ppgia.pucpr.br/~maziero/petri/arp.html</a> (in Portuguese)

and http://wiki.daimi.

Simulators: http://www.informatik.hu-berlin.de/top/pnk/download.html

Bibliography: \* Cassandras, Christos G., "Discrete Event Systems - Modeling and

Performance Analysis", Aksen Associates, 1993.

\* Peterson, James L., "Petri Net Theory and the Modeling of Systems",

Prentice-Hall, 1981.

\* Petri Nets and GRAFCET: Tools for Modelling Discrete Event Systems

R. DAVID, H. ALLA, New York: PRENTICE HALL Editions, 1992

Generic characterization of systems resorting to input / output relations

State equations:

$$\dot{x}(t) = f(x(t), u(t), t)$$

$$y(t) = g(x(t), u(t), t)$$

in continuous time (or in discrete time)

Examples?

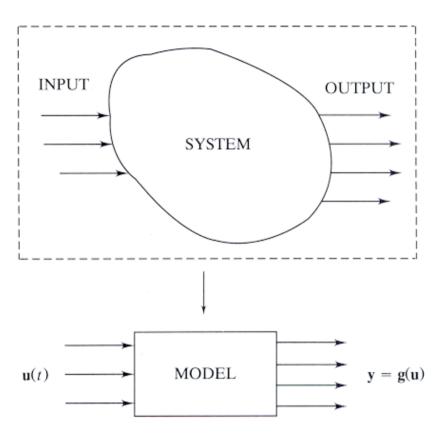


Figure 1.1. Simple modeling process.

Open loop vs close-loop (⇔ the use of feedback)

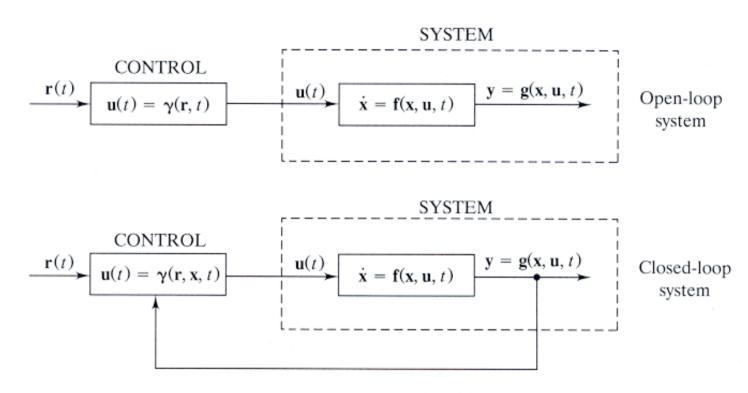


Figure 1.17. Open-loop and closed-loop systems.

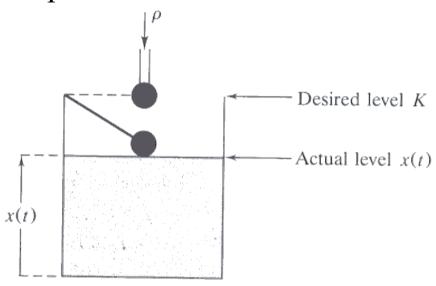
### Advantages of feedback?

(to revisit during SEDs supervision study)

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# Example of close-loop with feedback



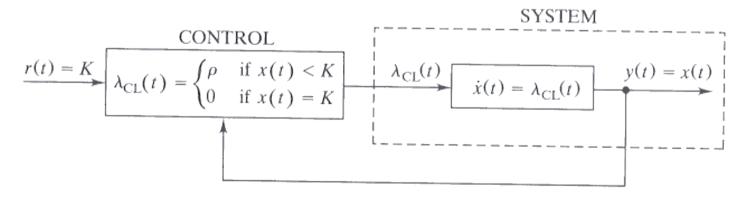


Figure 1.18. Flow system of Example 1.11 and closed-loop control model.

# **Discrete Event Systems: Examples**

Set of events:

$$E=\{N, S, E, W\}$$

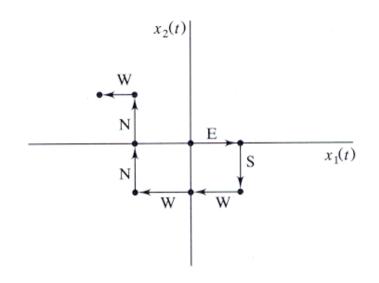


Figure 1.20. Random walk on a plane for Example 1.12.

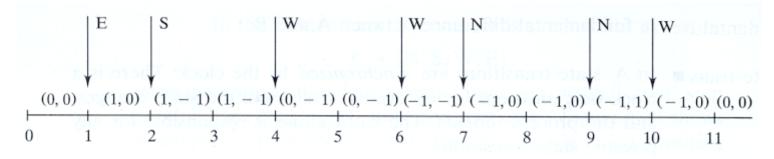


Figure 1.21. Event-driven random walk on a plane.

# Characteristics of systems with continuous variables

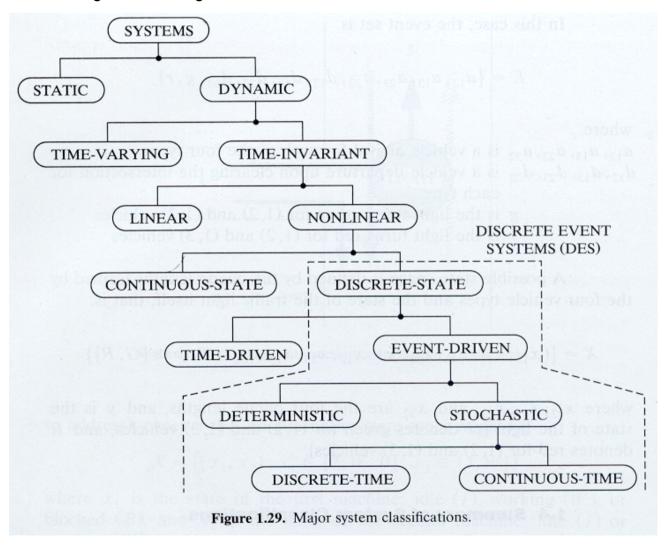
- 1. State space is continuous
- 2. The state transition mechanism is *time-driven*

# Characteristics of systems with discrete events

- 1.State space is discrete
- 2. The state transition mechanism is event-driven

# Polling is avoided!

# **Taxonomy of Systems**



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# Levels of abstraction in the study of Discrete Event Systems

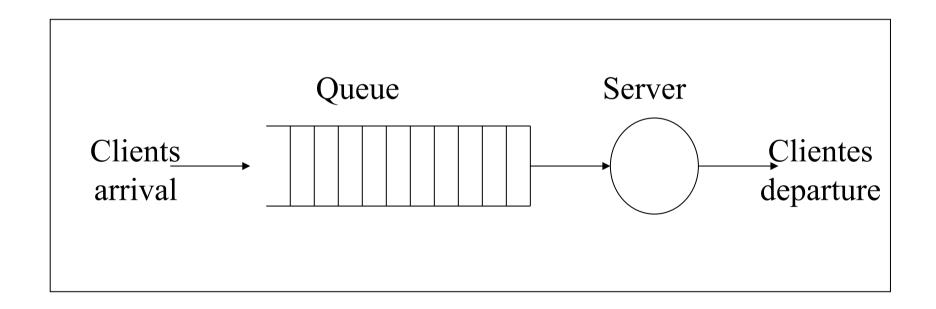
Languages

Timed languages

Stochastic timed languages

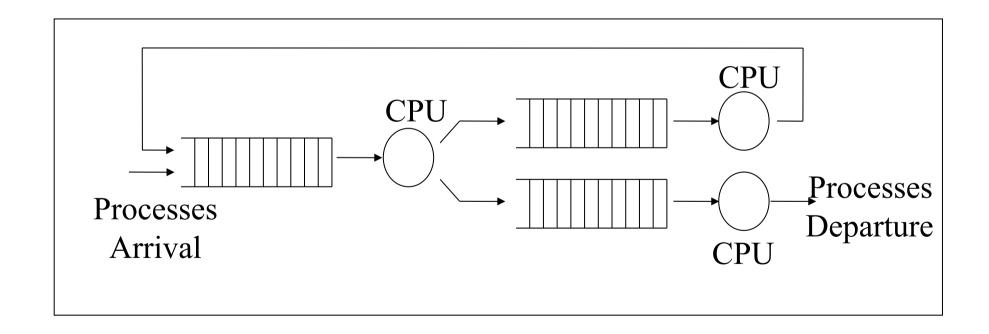
# **Discrete Event Systems: Examples**

Queueing systems



# **Discrete Event Systems: Examples**

# **Computational Systems**



# Systems' Theory Objectives

- Modeling and Analysis
- *Design* e synthesis
- Control / Supervision
- Performance assessment and robustness
- Optimization

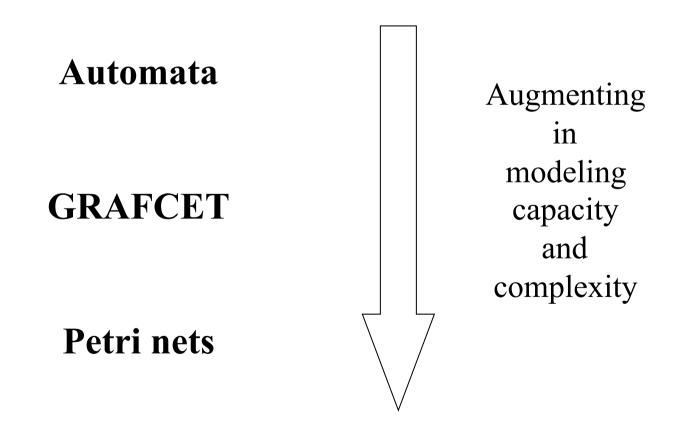
# **Applications of Discrete Event Systems**

- Queueing systems
- Operating systems and computers
- Telecommunications networks
- Distributed databases
- Automation

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# **Discrete Event Systems**

Typical modeling methodologies



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# **Automata Theory and Languages**

Genesys of computation theory

**Definition:** A **language** L, defined over the alphabet **E** is a set of *strings* of finite length with events from **E**.

Exemplos: 
$$\mathbf{E} = \{\alpha, \beta, \gamma\}$$

$$L_1 = \{\varepsilon, \alpha\alpha, \alpha\beta, \gamma\beta\alpha\}$$
  
 $L_2 = \{\text{all } strings \text{ of length } 3\}$ 

How to build a machine that "talks" a given language?

or

What language "talks" a system?

# Properties of languages

Kleene-closure  $E^*$ : set of all strings of finite length of E, including the null element  $\epsilon$ .

#### **Concatenation:**

$$L_a L_b := \left\{ s \in E^* : s = s_a s_b, s_a \in L_a, s_b \in L_b \right\}$$

#### **Prefix-closure:**

$$\overline{L} := \left\{ s \in E^* : \exists_{t \in E^*} \ st \in L \right\}$$

# **Automata Theory and Languages**

**Definition:** A deterministic automata is a 5-tuple

$$(E, X, f, x_0, F)$$

onde:

**E** - finite alphabet (or possible events)

X - finite set of states

f - state transition function  $f: X \times E \rightarrow X$ 

 $\mathbf{x_0}$  - initial state  $\mathbf{x_0} \subset \mathbf{X}$ 

**F** - set of final states or marked states  $\mathbf{F} \subset \mathbf{E}$ 

# Example of a automata

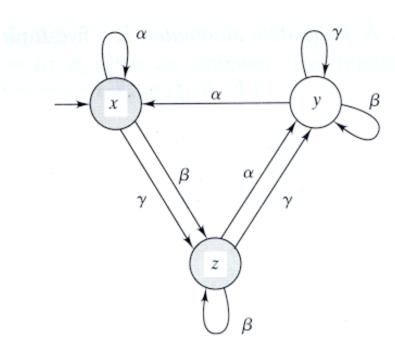
$$(E, X, f, x_0, F)$$

$$\mathbf{E} = \{\alpha, \beta, \gamma\}$$

$$\mathbf{X} = \{x, y, z\}$$

$$\mathbf{x_0} = \mathbf{x}$$

$$\mathbf{F} = \{\mathbf{x}, \mathbf{z}\}$$



**Figure 2.1.** State transition diagram for Example 2.3.

$$f(x, \alpha) = x$$

$$f(x, \beta) = z$$

$$f(x, \gamma) = z$$

$$f(x, \alpha) = x$$
  $f(x, \beta) = z$   $f(x, \gamma) = z$   
 $f(y, \alpha) = x$   $f(y, \beta) = y$   $f(y, \gamma) = y$ 

$$f(y, \beta) = y$$

$$f(y, \gamma) = y$$

$$f(z, \alpha) = y$$

$$f(z, \beta) = z$$

$$f(z, \alpha) = y$$
  $f(z, \beta) = z$   $f(z, \gamma) = y$ 

# Example of a stochastic automata

$$(E, X, f, x_0, F)$$

$$\mathbf{E} = \{\alpha, \beta\}$$

$$X = \{0, 1\}$$

$$\mathbf{x_0} = 0$$

$$F = \{0\}$$

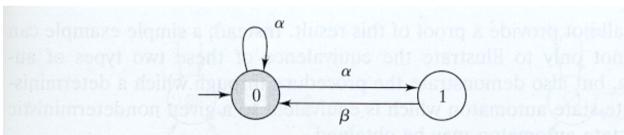


Figure 2.4. State transition diagram for the nondeterministic automaton of Example 2.7.

$$f(0, \alpha) = \{0, 1\}$$
  $f(0, \beta) = \{\}$   
 $f(1, \alpha) = \{\}$   $f(1, \beta) = 0$ 

Given a language

$$G=(E, X, f, x_0, F)$$

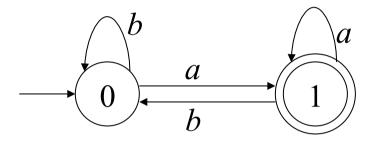
Generated language

$$L(G) := \{s \in E^* : f(x_0,s) \text{ is defined}\}$$

Marked language

$$L_m(G) := \{ s \in E^* : f(x_0, s) \in F \}$$

Example: marked language of an automata



$$L_m(G) := \{a, aa, ba, aaa, baa, bba, \ldots\}$$

Note: all strings with events  $a \in b$ , followed by event a.

# Automata equivalence:

The automata  $G_1$  e  $G_2$  are equivalent if

$$L(G_1) = L(G_2)$$
e

$$\boldsymbol{L}_{\mathrm{m}}(G_1) = \boldsymbol{L}_{\mathrm{m}}(G_2)$$

# Example of an automata:

Objective: To validate a sequence of events

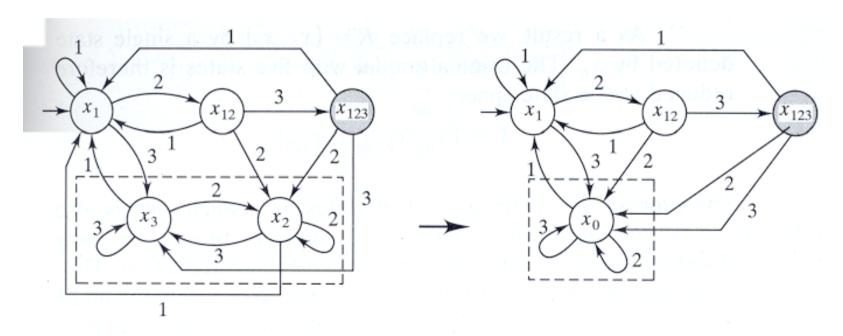
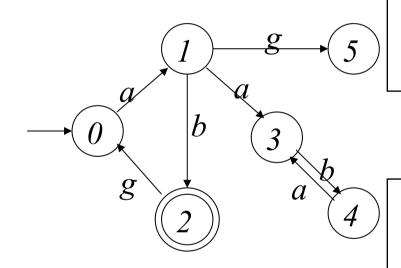


Figure 2.6. State transition diagrams for digit sequence detector in Example 2.9.

Deadlocks (inter-blocagem)

Example:



The state 5 is a deadlock.

The states 3 and 4 constitutes a *livelock*.

How to find the *deadlocks* and the *livelocks*?

Methodologies for the analysis Of

Discrete Event Systems

#### Deadlock:

in general the following relations are verified

$$L_m(G)\subseteq \overline{L}_m(G)\subseteq L(G)$$

An automata G has a deadlock if

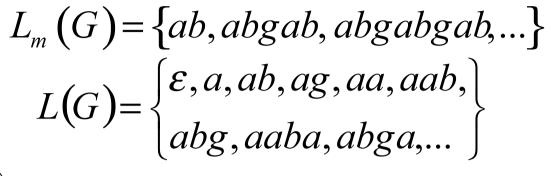
$$\overline{L}_m(G)\subset L(G)$$

and is not blocked when

$$\overline{L}_m(G) = L(G)$$

Deadlock:

Example:



$$(L_m(G)\subset L(G))$$

$$\begin{array}{c|c}
 & g \\
 & g \\
 & g \\
 & g \\
 & 2
\end{array}$$

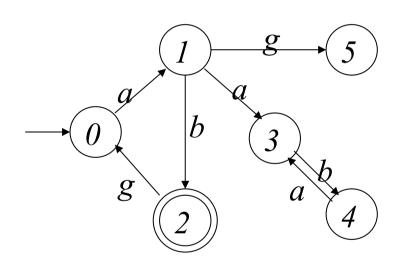
The state 5 is a deadlock.

The states 3 and 4 constitutes a *livelock*.

$$\overline{L}_m(G) \neq L(G)$$

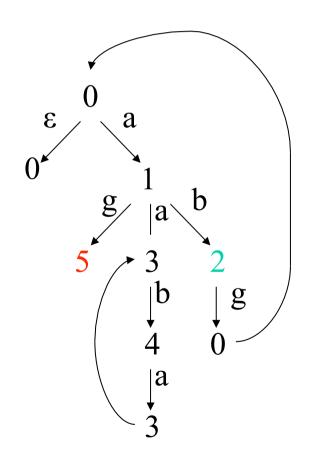
Alternative way to detect deadlocks:

# Example:

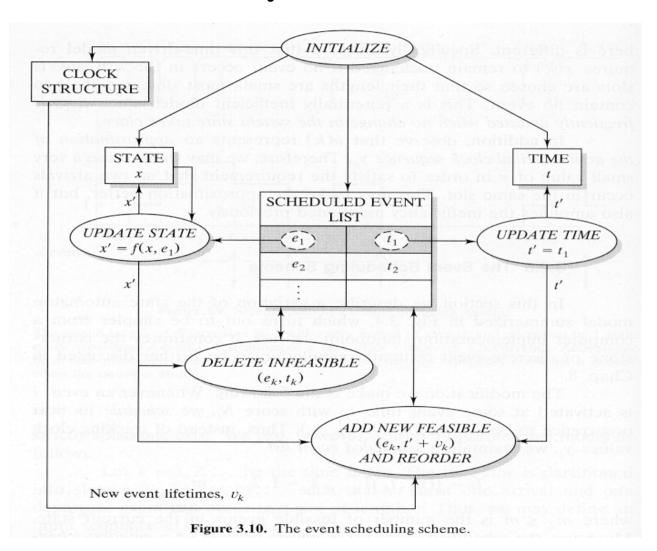


The state 5 is a deadlock.

The states 3 and 4 constitutes a *livelock*.



# **Timed Discrete Event Systems**



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#### **Petri nets**

Developed by Carl Adam Petri in his PhD thesis in 1962.

**Definition:** A marked Petri net is a *5-tuple* 

$$(P, T, A, w, x_0)$$

where:

P - set of places

**T** - set of transitions

A - set of arcs  $A \subseteq (P \times T) \cup (T \times P)$ 

 $\mathbf{w}$  - weight function  $\mathbf{w} : \mathbf{A} \to \mathbf{N}$ 

 $\mathbf{x_0}$  - initial marking  $\mathbf{x_0}: \mathbf{P} \to \mathbf{N}$ 

### **Example of a Petri net**

$$\begin{split} &(P,\,T,\,A,\,w,\,x_0) \\ &P = \{p_1,\,p_2,\,p_3,\,p_4,\,p_5\} \\ &T = \{t_1,\,t_2,\,t_3,\,t_4\} \\ &A = \{(p_1,\,t_1),\,(t_1,\,p_2),\,(t_1,\,p_3),\,(p_2,\,t_2),\,(p_3,\,t_3),\,\\ &(t_2,\,p_4),\,(t_3,\,p_5),\,(p_4,\,t_4),\,(p_5,\,t_4),\,(t_4,\,p_1)\} \\ &w(p_1,\,t_1) = 1,\,w(t_1,\,p_2) = 1,\,w(t_1,\,p_3) = 1,\,w(p_2,\,t_2) = 1\\ &w(p_3,\,t_3) = 2,\,w(t_2,\,p_4) = 1,\,w(t_3,\,p_5) = 1,\,w(p_4,\,t_4) = 3\\ &w(p_5,\,t_4) = 1,\,w(t_4,\,p_1) = 1 \end{split}$$

#### **Petri nets**

Rules to follow (mandatory):

- An arc (directed connection) can connect places to transitions
- An arc can connect transitions to places
- A transition can have no places as inputs (source)
- A transition can have no places as outputs (sink)
- The same happens with the input and output transitions for places

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# **Example of a Petri net**

$$(P, T, A, w, x_0)$$

$$P=\{p_1, p_2, p_3, p_4, p_5\}$$

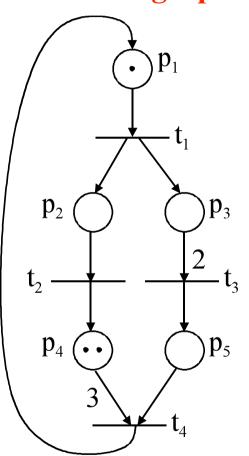
$$T = \{t_1, t_2, t_3, t_4\}$$

A={
$$(p_1, t_1), (t_1, p_2), (t_1, p_3), (p_2, t_2), (p_3, t_3), (t_2, p_4), (t_3, p_5), (p_4, t_4), (p_5, t_4), (t_4, p_1)}$$

$$w(p_1, t_1)=1, w(t_1, p_2)=1, w(t_1, p_3)=1, w(p_2, t_2)=1$$
  
 $w(p_3, t_3)=2, w(t_2, p_4)=1, w(t_3, p_5)=1, w(p_4, t_4)=3$   
 $w(p_5, t_4)=1, w(t_4, p_1)=1$ 

$$x_0 = \{1, 0, 0, 2, 0\}$$

# Petri net graph



#### Alternative definition of a Petri net

A marked Petri net is a 5-tuple

(P, T, I, O,  $\mu_0$ )

where:

P - set of places

T - set of transitions

I - transition input function I:  $P \to T^{\infty}$ 

 $\mathbf{O}$  - transition output function  $\mathbf{O} \colon \mathbf{T} \to \mathbf{P}^{\infty}$ 

 $\mu_0$  - initial marking  $\mu_0: P \to N$ 

# Example of a Petri net and its graphical representation

Alternative definition

$$(P, T, I, O, \mu_0)$$

$$P=\{p_1, p_2, p_3, p_4, p_5\}$$

$$T = \{t_1, t_2, t_3, t_4\}$$

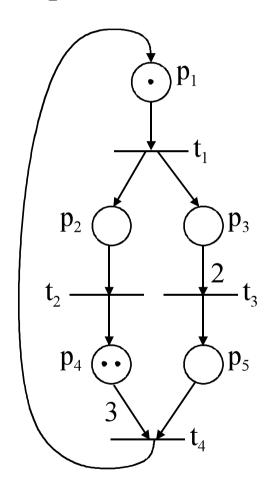
$$I(t_1) = \{p_1\} \qquad O(t_1) = \{p_2, p_3\}$$

$$I(t_2) = \{p_2\} \qquad O(t_2) = \{p_4\}$$

$$I(t_3) = \{p_3, p_3\} \qquad O(t_3) = \{p_5\}$$

$$I(t_4) = \{p_4, p_4, p_4, p_5\} O(t_4) = \{p_1\}$$

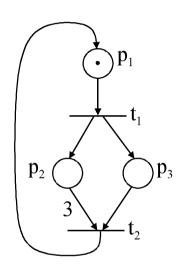
$$\mu_0 = \{1, 0, 0, 2, 0\}$$



#### **Petri nets**

The state of a Petri net is characterized by the marking of all places.

The set of all possible markings of a Petri net corresponds to its state space.



How does the state of a Petri net evolves?

# **Dynamics of Petri nets**

A transition  $t_i \in T$  is *enabled* if:

$$\forall p_i \in P: \ \mu(p_i) \geq \#(p_i, I(t_j))$$

A transition  $t_j$  Î T is enabled to fire, resulting in a new marking given by

$$\mu'(p_i) = \mu(p_i) - \#(p_i, I(t_j)) + \#(p_i, O(t_j))$$

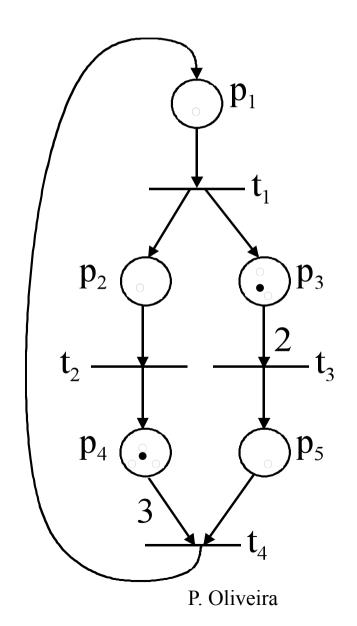
Example of evolution of a Petri net

Initial marking:

$$\mu_0 = \{1, 0, 1, 2, 0\}$$

This discrete event system can not change state.

It is in a deadlock!



## **Petri nets: Conditions and Events**

#### Conditions:

- a) The server is idle.
- b) A job arrives and waits to be processed
- c) The server is processing the job
- d) The job is complete

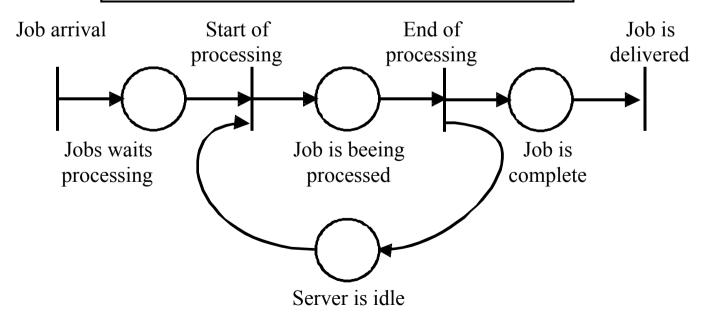
#### **Events**

- 1) Job arrival
- 2) Server starts processing
- 3) Server finishes processing
- 4) The job is delivered

Event	Pre-conditions	Pos-conditions
1	_	Ъ
2	a,b	c
3	c	d,a
4	d	-

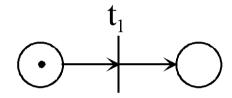
## **Petri nets: Conditions and Events**

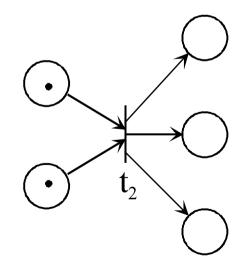
Event	Pre-conditions	Pos-conditions
1	-	Ъ
2	a,b	С
3	c	d,a
4	d	-



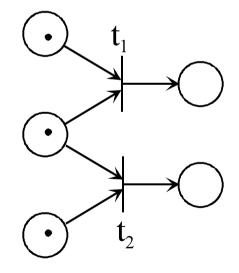
# Modeling mechanisms

#### Concurrence



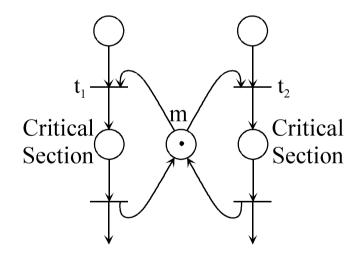


## Conflict

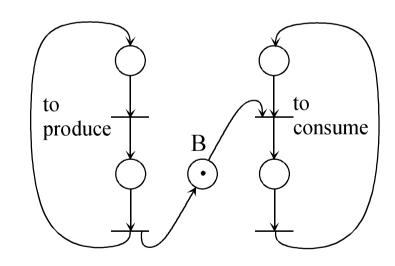


## Modeling mechanisms

#### **Mutual Exclusion**



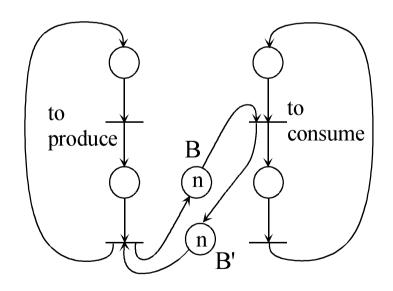
#### Producer / Consumer

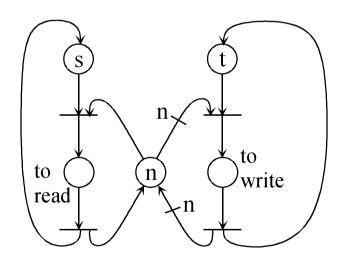


## Modeling mechanisms

Producer / Consumer with finite capacity

Readers / Writers

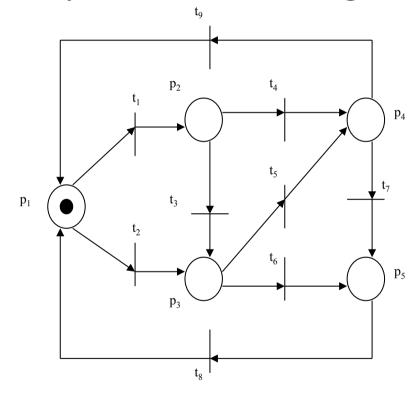




## Example of a simple automation system modelled using PNs

An automatic soda selling machine accepts 50 c and \$1 coins and sells 2 types of products: SODA A, that costs \$1.50 and SODA B, that costs \$2.00.

Assume that the money return operation is omitted.

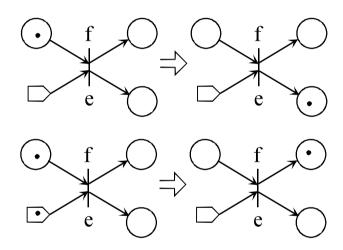


 $p_1$ : machine with \$0.00;

t<sub>1</sub>: coin of 50 c introduced;

t<sub>8</sub>: SODA B sold.

Switches [Baer 1973]

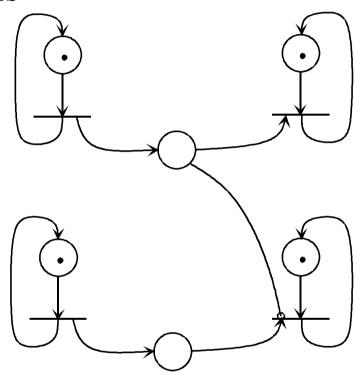


Possible to be implemented with restricted Petri nets.

**Inhibitor Arcs** 

**Equivalent to** 

nets with priorities

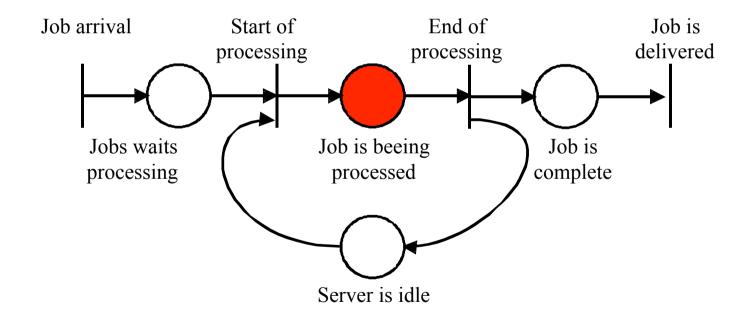


Can be implemented with restricted Petri nets?

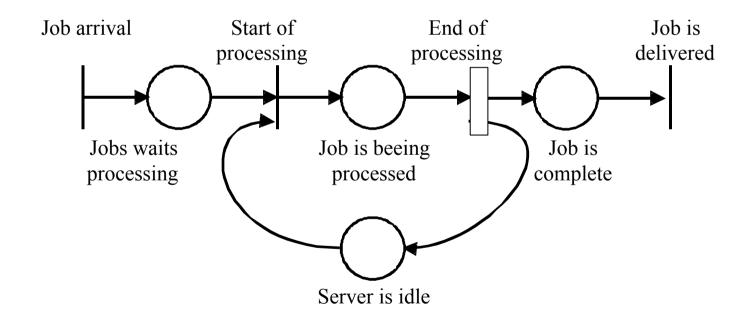
Zero tests...

Infinity tests...

#### **P-Timed nets**

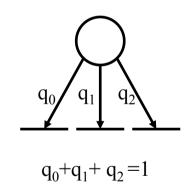


#### **T-Timed nets**

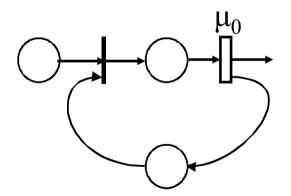


#### **Stochastic nets**

#### Stochastic switches



# Transitions with stochastic timmings described by a stochastic variable with known pdf



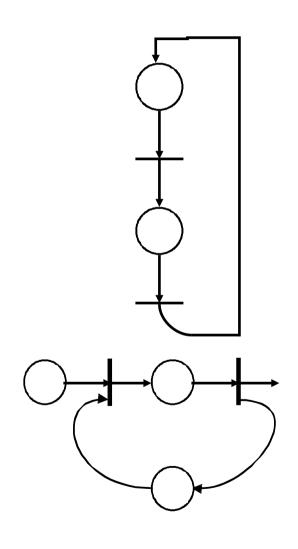
# **Discrete Event Systems Sub-classes of Petri nets**

#### **State Machine:**

Petri nets where each transition has exactly one input arc and one output arc.

## **Marked Graphs**

Petri nets where each place has exactly one input arc and one output arc.

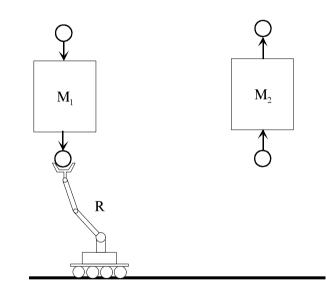


## **Example of DES:**

Manufacturing system composed by 2 machines ( $M_1$  and  $M_2$ ) and a robotic manipulator (R). This takes the finished parts from machine  $M_1$ and transports them to  $M_2$ .

No buffers available on the machines. If R arrives near  $M_1$  and the machine is busy, the part is rejected.

If R arrives near M<sub>2</sub> and the machine is busy, the manipulator must wait.



Machinning time:  $M_1=0.5s$ ;  $M_2=1.5s$ ;  $R_{M1 \ @M2}=0.2s$ ;  $R_{M2 \ @M1}=0.1s$ ;

## **Example of DES:**

Variables of

$$M_1$$
  $X_1$   $M_2$   $X_2$   $X_3$ 

 $M_1$   $M_2$  R

Example of arrival of parts:

$$a(t) = \begin{cases} 1 & em & \{0.1, 0.7, 1.1, 1.6, 2.5\} \\ 0 & em & todos & os & outros & instantes \end{cases}$$

## **Example of DES:**

Definition of events:

 $a_1$  - loads part in  $M_1$ 

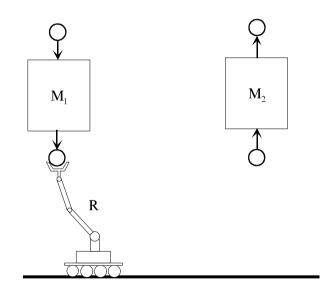
d<sub>1</sub> - ends part processing in M<sub>1</sub>

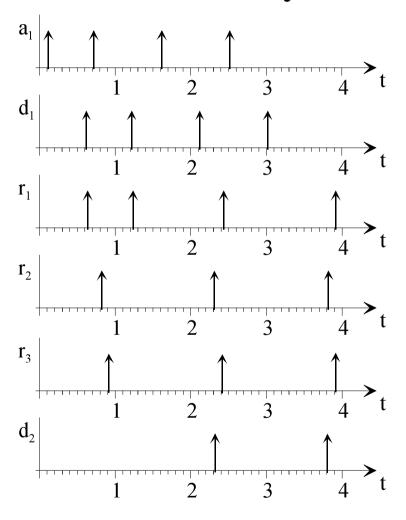
r<sub>1</sub> - loads manipulator

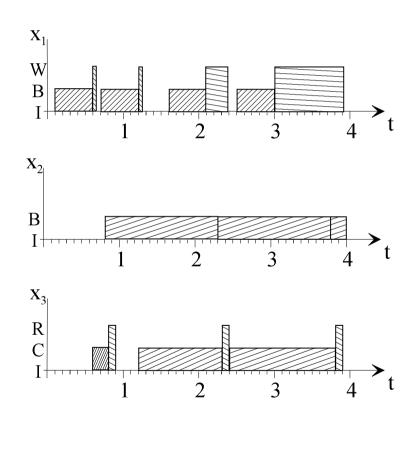
- unloads manipulator and loads M<sub>2</sub>

d<sub>2</sub> - ends part processing in M<sub>2</sub>

r<sub>3</sub> - manipulator at base







# **Example of DES:**

